

Infrared, Polarized Raman, and SERS Spectra of Betaine Hydrogen Oxalate Monohydrate

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Infrared and polarized Raman spectra of betaine hydrogen oxalate monohydrate are recorded and analyzed. The observed bands are assigned on the basis of vibrations due to oxalic acid, betaine, and water molecules. In the crystal it is found that oxalic acid molecules occupy a lower site and that betaine exists in zwitterionic form. Oxalic acid and water molecules are involved in strong hydrogen bonding. Band assignments are confirmed by deuteration. Surface enhanced Raman scattering (SERS) spectra recorded in two silver colloids reveal chemisorption through different adsorption sites. The observed SERS spectra are interpreted on the basis of different adsorption sites, geometries, and adsorbate conformation/orientation. The change of the SERS spectrum with time is due to the different stabilities of the adsorbed states. The oxalic acid molecules of the compound are likely to be in a tilted orientation with respect to the silver surface. © 1995 Academic Press, Inc.

INTRODUCTION

Betaine, $(\text{CH}_3)_3\text{N}^+\text{CH}_2\text{COO}^-$, forms adducts with many inorganic and organic molecules (1–4). Among them $(\text{CH}_3)_3\text{NCH}_2\text{COO} \cdot (\text{COOH})_2 \cdot \text{H}_2\text{O}$, betaine hydrogen oxalate monohydrate (BHO), is unique as it does not show any high-temperature phase transitions (4). From IR spectroscopic investigations of bis (betaine) telluric acid (5) and betaine monohydrate (6), some of the internal modes of betaine have been identified. Detailed vibrational spectroscopic data for betaine and its derivatives and adducts have not yet been reported.

Surface enhanced Raman scattering (SERS) spectroscopy is a useful technique for the study of the physical and chemical adsorption of molecules onto metals. The vibrational assignment of molecules adsorbed onto metal surfaces is of importance when there exists a metal–molecule complex formation as in chemisorption. In such cases, orientation of the complex with respect to the metal surface is an important factor in determining the Raman scattering cross section of each fundamental vibration (7, 8). The metal–adsorbate interaction is evi-

denced by the presence of some bands in the 150–300 cm^{-1} region that are assigned to its stretching vibrations (9–18), which depend on the nature of the adsorbed species. As BHO has COO^- and $(\text{COOH})_2$, its SERS studies, along with IR and Raman spectra, are expected to give interesting results.

EXPERIMENTAL

Polarized Raman spectra of a $2 \times 3 \times 4$ mm single crystal of BHO have been recorded for the four orientations $z(\text{YY})X$, $Z(\text{YZ})X$, $Z(\text{XY})X$, and $Z(\text{XZ})X$ corresponding to the polarizability tensor components α_{yy} , α_{yz} , α_{xy} , and α_{xz} , respectively. Here, the X , Y , and Z axes of observation coincide with the crystal axes a , b , and c . A Spex Ramalog 1401 double monochromator equipped with a Spectra Physics Model 165 Ar^+ laser (5145 Å, 80 mW) is used to record the spectra. FTIR spectra (4000–400 cm^{-1}) are obtained with a PE 7600 FTIR spectrometer with the sample in KBr. The IR spectra (4000–200 cm^{-1}) recorded on a PE 983 spectrometer with the sample in KBr and nujol are also used. Raman and IR spectra of the deuterated polycrystalline sample obtained by repeated recrystallizations from D_2O are used to confirm the assignments.

The SERS spectra have been investigated in two different silver colloids: (i) A stable greenish-yellow silver colloid (hereafter called colloid 1) having a sharp absorption maximum at 400 nm prepared from sodium borohydride and silver nitrate by the method described by Creighton *et al.* (19); and (ii) a greenish-gray colloid (hereafter called colloid 2) with a broad adsorption band around 430 nm prepared from silver nitrate and sodium citrate (20). Chemicals required are from Sigma. Deionized water has been used throughout.

Absorption spectra of the silver colloids, BHO, and adsorbed BHO (Figs. 1 and 2) have been recorded on a UV-240 Shimadzu UV–visible recording spectrophotometer. When BHO gets adsorbed onto colloid 1, the color changes to light pink and the absorption band at 400 nm broadens and shifts to 405 nm. Further, an additional

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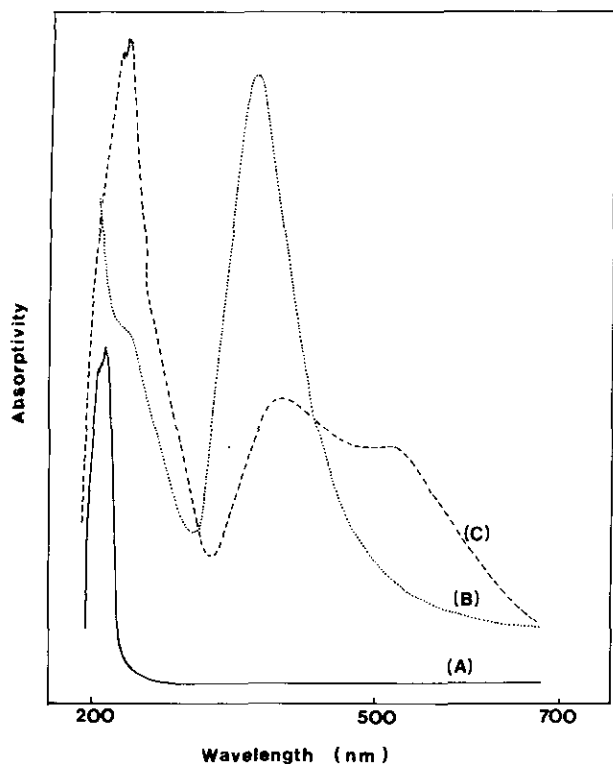


FIG. 1. Absorption spectrum of (A) BHO, (B) colloid 1, and (C) BHO adsorbed on colloid 1.

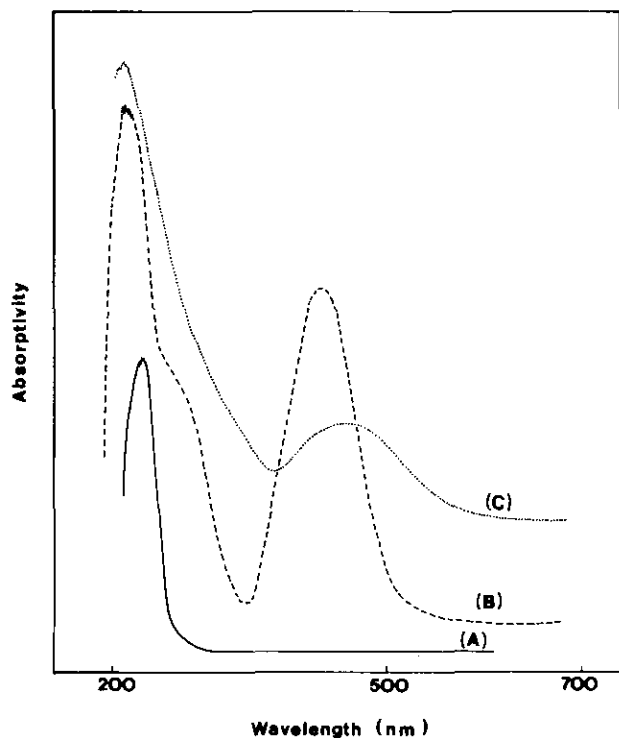


FIG. 2. Absorption spectrum of (A) BHO, (B) Colloid 2, and (C) BHO adsorbed on colloid 2.

very broad band appears around 530 nm. In the case of colloid 2, the color changes to gray and the broad band around 430 nm broadens with its center around 460 nm.

To record the SERS spectra, 1 ml of $10^{-3} M/10^{-4} M$ BHO solution was added to 60 ml of colloid 1. The resulting solution was placed in a rectangular quartz cell and the Raman spectra were recorded on a Dilor GMBH Z 24 spectrometer with 50 mW laser power. In the case of colloid 2, 1 ml of $10^{-3} M/10^{-4} M$ BHO solution was added to 20 ml of colloid 2. As BHO coagulated colloid 2 in about 1 h (when placed in the laser beam), the scanning of the spectrum was completed in about 30 min. This difficulty was not experienced in colloid 1, as it took about 3 hr to coagulate.

RESULTS AND DISCUSSION

Infrared and Polarized Raman Spectra

The planar oxalic acid molecule, $(\text{COOH})_2$, is centrosymmetric ($2i$) with 18 internal modes ($9A_g + 9A_u$). In the tetramolecular space group $C2/c$ of BHO (4), because $(\text{COOH})_2$ occupies the general site C_1 , inactive modes will become active and each of the Raman and IR active modes will be split into four components, one each in the $A_g, B_g, A_u,$ and B_u species of the factor group C_{2h} .

For $\nu \text{C}=\text{O}$ mode ($\text{C}=\text{O}$ stretching), two Raman bands are observed (Table 1) in the $Z(\text{YY})X$ orientation (A_g species). The polarized band (Fig. 3) at 1736 cm^{-1} probably arises from the Raman-active ν_2 mode and the other one from the Raman-inactive ν_{11} . (For an explanation of $\nu_1, \nu_2, \dots, \nu_{18}$, see the footnote to Table 1.) In IR, three bands are observed for the $\nu \text{C}=\text{O}$ mode. The maximum number expected in the spectrum of the polycrystalline sample is four. Without the lower site symmetry effect, one would have obtained only two bands in IR. The observation of the three bands clearly shows that at least one component is from the infrared inactive ν_2 mode. Similar arguments hold for the ν_7 and ν_{17} modes. All the internal modes of $(\text{COOH})_2$ could not be assigned independently, as bands due to betaine complicate the spectrum.

It is well known that the deprotonated carboxylic group (COO^-) has two very characteristic absorption bands around 1410 and 1600 cm^{-1} which are respectively the symmetric and asymmetric stretching modes. For a COOH group, $\nu_{\text{as}} \text{C}=\text{O}$ is expected around 1720 and $\nu_{\text{s}} \text{C}=\text{O}$ around 1260 cm^{-1} (22). In the IR spectrum of pure betaine (Table 1) the very strong band at 1630 cm^{-1} with a shoulder at 1610 cm^{-1} and the bands in the region $1400\text{--}1500 \text{ cm}^{-1}$ indicate the presence of a deprotonated carboxylic group, in agreement with the zwitterionic structure suggested by Leifer and Lippincott (23). In BHO, since COO^- , $(\text{COOH})_2$, and water molecules are present,

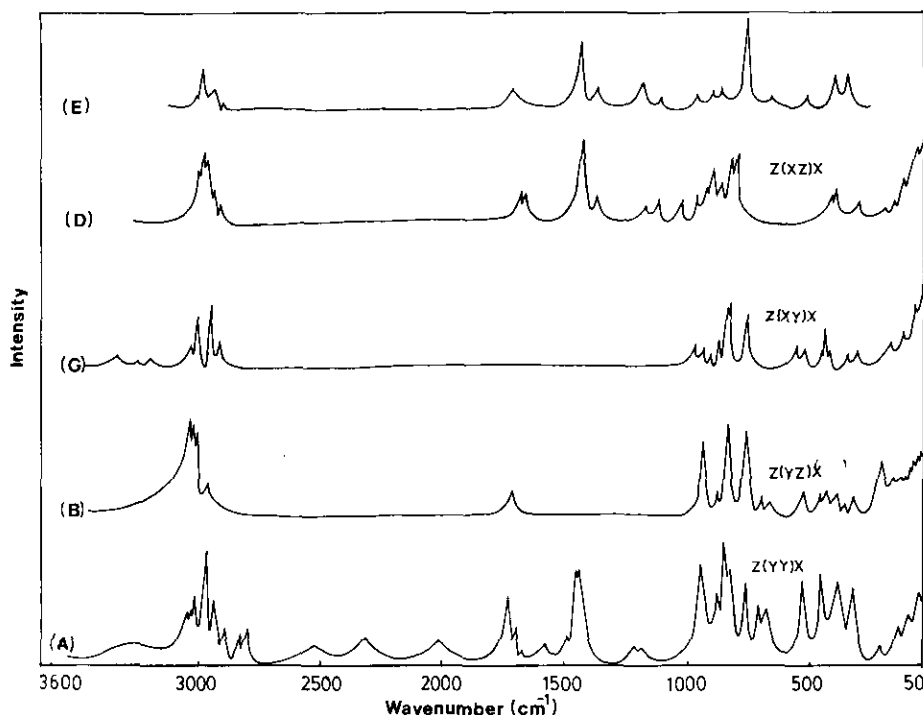


FIG. 3. Raman spectrum of (A, B, C, and D) BHO single crystal and (E) deuterated BHO.

it is difficult to identify the band due to the $\nu_{as}COO^-$ of betaine in the $1500\text{--}1700\text{ cm}^{-1}$ region. However, it is reasonable to assume that betaine exists as a zwitterion in BHO since the three IR bands observed at 1645, 1620, and 1550 cm^{-1} cannot be due to the bending mode of H_2O alone. Further, the band at 1620 cm^{-1} does not show considerable change on deuteration. Also, this frequency is close to the band observed for $\nu_{as}COO^-$ in pure betaine (Table 1). Therefore, the IR band at 1620 cm^{-1} in BHO is possibly due to the $\nu_{as}COO^-$ of the betaine molecule in it.

On deuteration, the band around 1400 cm^{-1} in both Raman and IR spectra shows a decrease in intensity, and an additional medium broad band appears around 1090 cm^{-1} in IR. Therefore, it is reasonable to assume that the band at 1400 cm^{-1} in BHO has some contribution from the O–H bond which may be due to the O–H \cdots O deformation of the strongly hydrogen-bonded water molecule (24). The strong IR bands (Fig. 4) observed at 720 and 670 cm^{-1} are assigned to $\nu_{15}(\delta COO^-)$ and the librational modes of water. In the deuterated spectrum (IR), the intensities of these bands are reduced slightly and two additional weak bands appear at 685 and 615 cm^{-1} . This confirms the assignment of the librational modes of water, which is again indicative of the involvement of the water molecule in hydrogen bonding. The trio of bands in the $1900\text{--}2600\text{ cm}^{-1}$ region (22) arises from the very strong hydrogen bond between different oxalic acid molecules.

The Raman bands in the region $3000\text{--}3080\text{ cm}^{-1}$ are not polarized, whereas those in the $2900\text{--}3000\text{ cm}^{-1}$ are polarized. Hence, the assignment of the former to $\nu_{as}CH_3$ and of the latter of ν_sCH_3 is justifiable. The stretching modes of CH_2 overlapped those of CH_3 . When a CH_2 or CH_3 group is attached to a nitrogen atom, the stretching modes shift to low frequencies (22, 25). Therefore, the strong IR band appearing at 2840 cm^{-1} and the weak Raman bands in the $2800\text{--}2900\text{ cm}^{-1}$ region are also assigned to the (N)C–H stretching mode. The symmetric and asymmetric bending modes of the CH_3 group usually occur around 1375 and 1460 cm^{-1} (22, 25). The bending mode of CH_2 is also expected around 1460 cm^{-1} . Independent assignment of these modes could not be made, as the bands due to $(COOH)_2$ and COO^- also appear in this region.

SERS Spectra in Silver Colloids

SERS spectra (Fig. 5) recorded in both colloids show a low-frequency band between 160 and 240 cm^{-1} which is not seen in the Raman spectrum of the aqueous solution of BHO. It is also seen that the spectra are different in the two colloids at different concentrations of the adsorbate. These features may be interpreted as follows.

It is reported that the frequency of the metal–molecule stretching mode increases with decrease in molecular mass (14). As the silver–molecule stretching frequency is

TABLE 1
Vibrational Spectral Data (cm⁻¹) and Band Assignments

BHO										
Raman				IR				Betaine		Assignments
z(yy)x	z(yz)x	z(xy)x	z(xz)x	Powder	Deuterated	Hydrated	Deuterated	Raman	IR	
3350– 3200vwbr		3360vw 3280vw 3225vw		3375sh 3303mbr 3225sh		3350sbr				ν_{as} and ν_s H ₂ O
3050m	3050s	3055w	3038m	3040s	3050w	3030m		3077vw		
3042m	3042s		3028s	3032s	3029m	3000m	3019m	3047w		ν_{as} CH ₃ , ν_1 , and ν_{10}
3032s	3028s	3036m	3000m					3026m 3014s 2978m	3020s	
2973vs		2970m	2974w	2980vs	2974mbr		2980brsh			
2938m	2975w	2940w	2940vw	2944s	2940w	2900m	2935m	2963vs	2980m	ν_s CH ₃
2900w								2936m		
2828w				2836vw				2880vwbr		
2800w				2811w		2840s	2810sbr	2800vwbr		ν (N)C–H
2530wbr				2500vw		2500m	2465m			
2370wbr						2370m	2130m		2240mbr	Triobands, combinations, and ν D ₂ O
2015wbr						1970mbr				
1736m				1748w		1735s	1720vs			
	1733w		1710w	1727m	1755mbr	1720m				ν_2 and ν_{11}
1707w				1720m		1700w	1700sh			
1670w						1645s	1685m		1630vs	δ H ₂ O and
			1695w			1620s	1615s		1610sh	ν_{as} COO ⁻
1570wbr						1550w	1530sh			
1484w									1490m	δ_{as} CH ₃ , δ CH ₂ ,
1458s			1460s	1463s		1480m	1460m		1470m	ν_s COO ⁻ , and ν_3
1452s			1406w	1440sh	1470s	1460vw	1435w	1460s	1450w	
						1430m	1410m		1410m	
				1396m			1090mbr	1330m	1390m	δ O–H ··· O, δ O–D ··· O,
					1400w					
			1350vw			1330w	1320w	1320w	1330s	δ_s CH ₃ and ν_{12}
1220wbr						1280m	1310w		1230m	
							1260m			
1190wbr			1213w	1224w	1222mbr	1250m	1230m			ν_4 and δ D ₂ O
							1210m			
				1153vw		1190vs	1175s		1130w	
			1148w							
			1140vw	1143w		1125m	1115m			ν C–N and ν_{13}
			1005w			1020m	1010w		1010w	
				1010w						
				1005m						
		993w	993w			990s	980s	975w	980m	γ CH ₃
					998w					
		955w	957w	965vw		950w		952w	950m	

TABLE 1—Continued

BHO										
Raman				IR				Betaine		Assignments
$z(yy)x$	$z(yz)x$	$z(xy)x$	$z(xz)x$	Powder	Deuterated	Hydrated	Deuterated	Raman	IR	
953s	952s	928vw	928m	939m	938w	930s	915s	940w	930m	γCH_2
886m	887w	889w	890w	900vw	899w	880s	865s			
860vs	855s	855m	857m	868s		835m	835w	894w	890s	$\nu_5, \nu_6,$ and ν_{14}
845s		850m		860sh		800m	820s			
773s	778s	778m	778m	791vs	789vs	780m		780s	750m	ν_7
				732w			710s		730s	
718m	716vw			700vw	696wbr	720s				RH_2O and ν_{15}
684m	682vw						685w 660m 615wbr			
		578w							590s	
540s	540w	546w		553w	552w	585w	495mbr	540w	520m	ν_8 and ν_{16}
						500s				
469s	469w	473w	446w	458w	439m	450m	440wbr	453w	440w	
	447w	468m		425W	391m	385w			385w	ν_9 and δNCC
	400w	445w	425w	380w						
398sbr	370w	370w								
						365w			360s	
337s	338w	336w	334w				350m			
220vw	225m		228w	290w		310w			290w	ν_{17}
						295w 240m 215m	315wbr	361w	260s	
	178vwbr	188w	188w	187w				169wbr		$\nu_{18}, \text{TH}_2\text{O},$ and other external modes
145vw	150wbr	140w	151w	79m				90s		
	118w		147w							
95wbr	95m	95s	95s	41m	78m			83s		
	85m							62s		
54s	71m	70s	56s							
	56w									

Note. vs, very strong; s, strong; m, medium; w, weak; vw, very weak; br, broad; sh, shoulder; ν_s , symmetric stretch; ν_{as} , asymmetric stretch; δ , bend; R, libration; T, translation; γ , rocking. $\nu_1(A_g) = \nu\text{OH}$, $\nu_2(A_g) = \nu\text{C} = \text{O}$, $\nu_3(A_g) = \nu\text{C}-\text{O}$, $\nu_4(A_g) = \delta\text{OH}$, $\nu_5(A_g) = \gamma\text{C}=\text{O}$, $\nu_6(A_g) = \nu\text{C}-\text{C}$, $\nu_7(A_g) = \gamma\text{OH}$, $\nu_8(A_g) = \rho\text{COO}$, $\nu_9(A_g) = \delta\text{COO}$, $\nu_{10}(A_u) = \nu\text{OH}$, $\nu_{11}(A_u) = \nu\text{C}=\text{O}$, $\nu_{12}(A_u) = \delta\text{OH}$, $\nu_{13}(A_u) = \nu\text{C}-\text{O}$, $\nu_{14}(A_u) = \gamma\text{OH}$, $\nu_{15}(A_u) = \delta\text{COO}$, $\nu_{16}(A_u) = \gamma\text{C}=\text{O}$, $\nu_{17}(A_u) = \rho\text{COO}$, $\nu_{18}(A_u) = \tau\text{C}-\text{C}$.

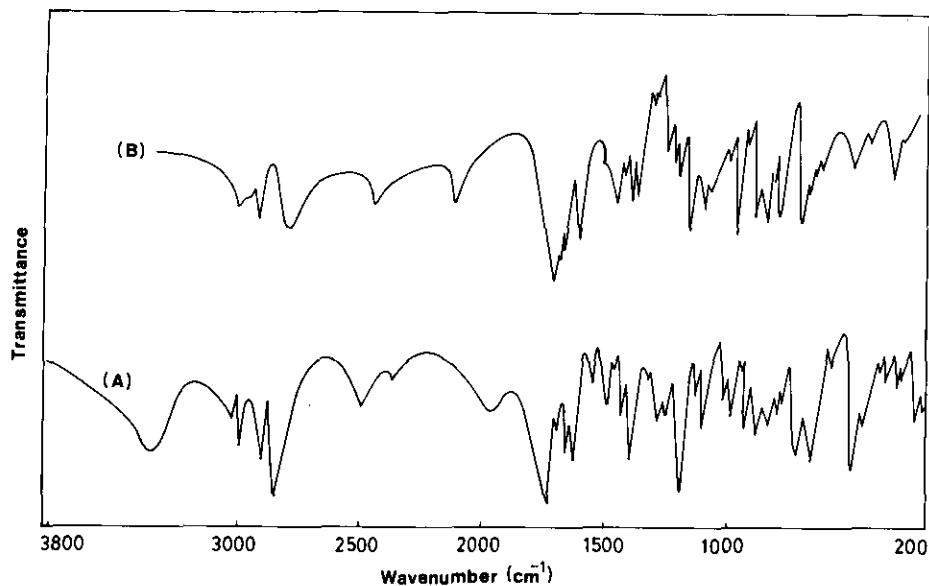


FIG. 4. IR spectrum of (A) BHO and (B) deuterated BHO.

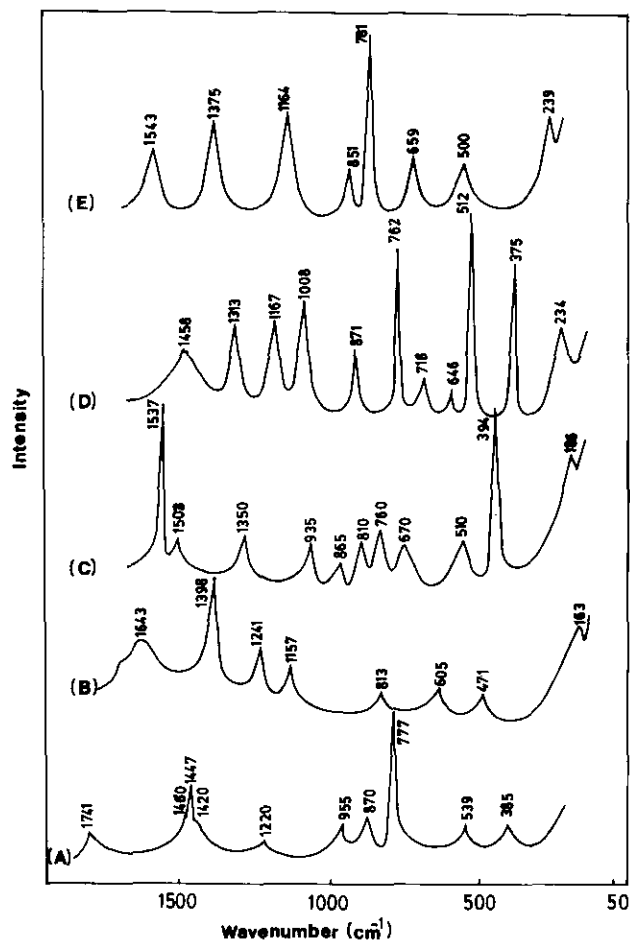


FIG. 5. (A) Raman spectrum of saturated aqueous solution of BHO; SERS spectrum of (B) BHO ($10^{-3} M$) in colloid 1; (C) BHO ($10^{-4} M$) in colloid 1; (D) BHO ($10^{-3} M$) in colloid 2; and, (E) BHO ($10^{-4} M$) in colloid 2.

163 cm^{-1} in colloid 1 ($10^{-3} M$) and 234 cm^{-1} in colloid 2 ($10^{-3} M$), it is possible that the silver surface is close to the betaine molecule in colloid 1 and to the oxalic acid molecule in colloid 2. These low-frequency bands correspond to the $\nu \text{ Ag-O}$ modes of the silver-adsorbate chemisorbed system (9–18), and the adsorption occurs through the carboxylic groups in betaine and oxalic acid molecules present in BHO. The differences observed in the SERS spectra recorded in the two colloids are therefore justifiable. The conclusion that in colloid 2 the oxalic acid molecule is close to the silver surface is further supported by the presence of the low-frequency band around 245 cm^{-1} in the SERS spectrum of pure oxalic acid (Fig. 6).

The frequency shift of the SERS bands with concentration is probably due to changes in the adsorbate conformation/orientation (10, 16, 17). Some of the possible adsorption geometries are shown in Fig. 7. In colloid 1, as betaine is close to the silver surface, the geometries A, B, and C are predominant; in colloid 2, D, E, F, G, and H predominate. Assignment of the observed SERS bands (Table 2) has been made on the basis of literature data (9, 14, 16, 28, 29) for molecules with similar functional groups. The acidic configuration (A) in Fig. 7 is possible at the expense of a proton from oxalic acid. The band at 1643 cm^{-1} at $10^{-3} M$ concentration in colloid 1 may be due to this configuration (10). At $10^{-4} M$ this band disappears and two new bands appear at 1503 and 1537 cm^{-1} (Fig. 5). These may arise from the monodentate and bidentate configurations [10, 15] shown in Figs. 7B and 7C. In colloid 2, the band at 1458 cm^{-1} ($10^{-3} M$) corresponds to $\delta_{\text{as}}\text{CH}_3$ and the band at 1543 cm^{-1} to $\nu_s\text{COO}^-$. The presence of these bands shows that the betaine molecule

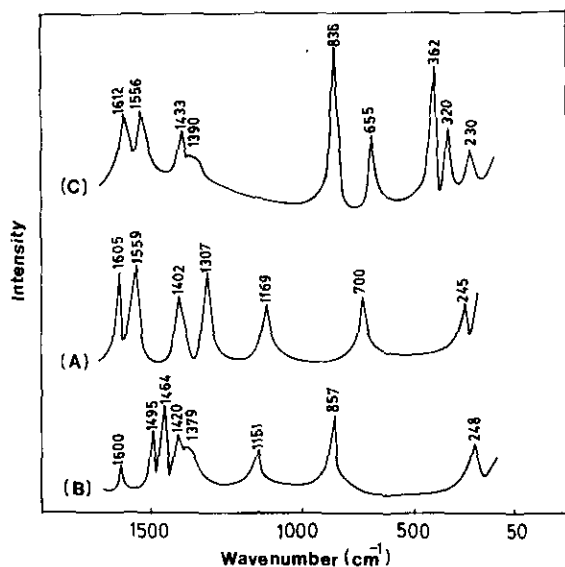


FIG. 6. SERS spectrum of (A) oxalic acid in colloid 1, (B) oxalic acid in colloid 2, and (C) BHO ($10^{-4} M$) 1 hr after mixing with colloid 2.

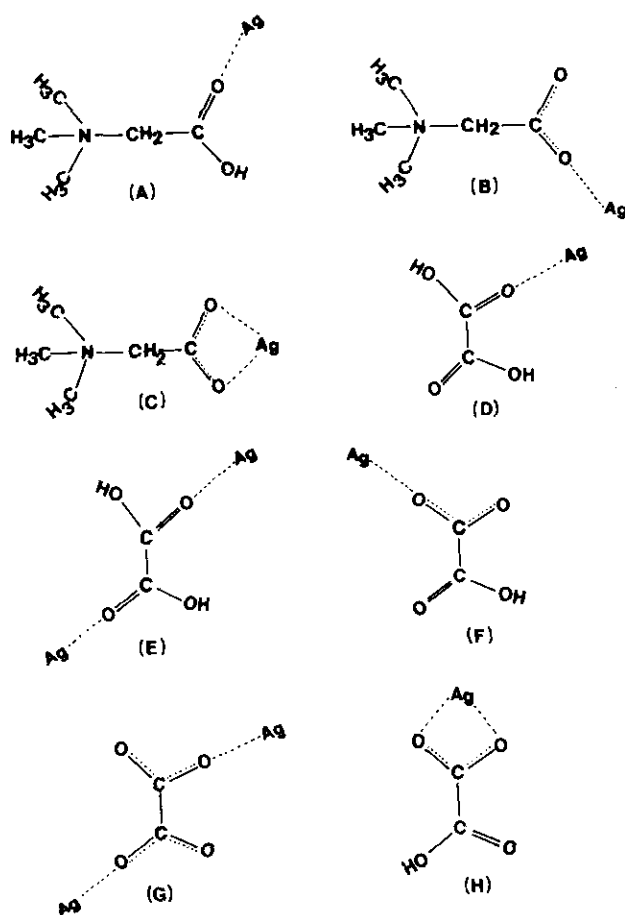


FIG. 7. Schematic representation of some of the adsorption geometries of BHO (A, B, and C) in colloid 1 and (D, E, F, G, and H) in colloid 2.

TABLE 2
SERS Spectral Data (cm^{-1}) and Band Assignments of BHO

Saturated solution of BHO	SERS spectrum in colloid 1		SERS spectrum in colloid 2		Assignments
	$10^{-3} M$	$10^{-4} M$	$10^{-3} M$	$10^{-4} M$	
1741					$\nu_{\text{as}}\text{COOH}$ $\nu_{\text{as}}\text{COO}^-$
1460	1643				
1447		1537			
1420		1503	1458	1543	$\delta_{\text{as}}\text{CH}_3$ and $\nu_s\text{COO}^-$
		1398			δOH ,
1220	1241	1350	1313	1375	
	1157		1167	1164	$\delta_s\text{CH}_3$ and $\nu\text{C-N}$
			1008		
955		935			γCH_3 and γCH_2
870		865	871	851	$\nu\text{C-C}$
		813	810	762	
				781	
777		760	718		$\gamma_g\text{OH}$
	605			659	
		670	646		
539	471	510	512	500	ρCOO^-
385		394	375		$\delta_g\text{COO}^-$, δNCC
	163	186	234	239	$\nu_{\text{Ag-O}}$

is also near the silver surface. Interesting changes have been noted in the SERS spectrum (Fig. 6) of BHO ($10^{-4} M$) in colloid 2 recorded 1 hr after mixing. The bands around 1543 and 1375 cm^{-1} show doubling and the most intense band at 781 cm^{-1} is replaced by a band at 835 cm^{-1} . Further, two additional strong bands appear at 362 and 320 cm^{-1} . This is because of the different stabilities (10) of the multiple adsorbed states given in Fig. 7D to 7H. That is, even at the same concentration of the adsorbate, another adsorbed state becomes predominant after some time (10, 17). The splitting of the band at 1543 cm^{-1} into two bands at 1612 and 1556 cm^{-1} may be due to a change in configuration from bidentate (Fig. 7H) to monodentate (Fig. 7F) and acidic (Fig. 7D) configuration (10, 15). The intensity of the band at 835 cm^{-1} corresponding to the C-C stretching mode increases substantially after 1 hr. This may be due to the photochemical decomposition (26) of BHO leading to the formation of graphite at the silver surface or to the presence of multiple adsorbed states already discussed.

In addition to the adsorbed states shown in Fig. 7, it is possible that another adsorbed state involving a CH_3 group is present, as observed in the case of 5-methyl cytosine (16). The proximity of the CH_3 group to the silver surface may form silver-betaine complexation

TABLE 3
SERS Spectral Data (cm^{-1}) and Band Assignments of
Oxalic Acid

Oxalic acid in colloid 1	Oxalic acid in colloid 2	Assignments
1605	1600	ν_2 and ν_{11}
1559	1495	
		ν_3
	1464	
1402		
	1420	
1307	1379	ν_{12} , ν_4 , and ν_{13}
1169		
	1151	
700	857	$\nu_{\text{C-C}}$
245	248	$\nu_{\text{Ag-O}}$

which anchors the molecules on the silver surface. The appearance of the band at 935 cm^{-1} in colloid 1 at 10^{-4} M concentration which corresponds to the rocking mode of CH_3 is indicative of such an adsorbed state [16]. The shift of the $\nu_{\text{Ag-O}}$ band with concentration is also due to the presence of different adsorption geometries (27).

According to the surface selection rule (30), the vibrational modes involving atoms that are close to the metal surface are enhanced more when compared with other vibrations. Therefore, one expects bands due to betaine to be enhanced in colloid 1 and those due to oxalic acid to be enhanced in colloid 2. A comparison of the SERS spectral data (Tables 2 and 3) shows that bands due to both betaine and oxalic acid are enhanced in colloid 2, indicating the nearness of the betaine molecule to the silver surface. In the SERS spectrum of pure oxalic acid (Fig. 6) the band due to the OH in-plane bending mode (δOH) is enhanced (Table 3). It may then be inferred that pure oxalic acid assumes a perpendicular orientation with respect to the silver surface (30). In BHO, as bands due to both γ OH (OH out-of-plane bending) and δOH are enhanced (Table 2), the oxalic acid molecule is in a tilted rather than a flat or a perpendicular orientation with respect to the silver surface (30).

CONCLUSION

In the crystal, oxalic acid molecules occupy a lower site. Strong hydrogen bonding between oxalic acid molecules is evident from the existence of tribands. The observation of the $\delta\text{OH} \cdots \text{O}$ mode at 1400 cm^{-1} and the librational modes of water at 720 and 670 cm^{-1} in the IR spectra indicate strong hydrogen bonding of the water molecules. BHO has different adsorption sites. The silver surface is close to the betaine molecule in colloid 1 and to

the oxalic acid molecule in colloid 2. In both colloids multiple adsorbed states are present. The change of the SERS spectrum with time is due to the different stabilities of the multiple adsorbed states. The intensity enhancement of the bands due to both the in-plane and the out-of-plane OH bending modes of the oxalic acid molecules is indicative of a tilted orientation with respect to the silver surface.

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